

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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UNITED STATES SECURITIES AND EXCHANGE:
COMMISSION :

Plaintiff, :

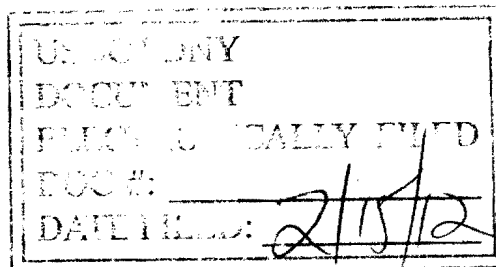
11 Civ. 7388 (JSR)

ORDER

-v- :

BRIAN STOKER, :

Defendant. :



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JED S. RAKOFF; U.S.D.J.

The Securities and Exchange Commission brings this suit against Brian Stoker, a Director in Citigroup Global Markets Inc.'s Collateralized Debt Obligation structuring group from March 2005 to August 2008, alleging violations of Sections 17(a)(2) & (a)(3) of the Securities Act, 15 U.S.C. § 77q(a)(2) and (3).¹ Stoker moved to dismiss the Complaint in its entirety. After carefully considering the parties' written submissions and oral argument, the Court hereby denies Stoker's motion. An opinion explaining the reasons for this ruling will issue in due course.

SO ORDERED.

Dated: New York, NY
February 14, 2012



JED S. RAKOFF, U.S.D.J.

¹ This case is related to SEC v. Citigroup, 11 Civ. 7387 (JSR) (S.D.N.Y. 2011).